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Naoki Kuwata

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Peter B. Martine  
MARTINE & PENILLA, LLP  
710 Lakeway Drive, Suite 170  
Sunnyvale, CA 94085

EXAMINER

THOMPSON, JAMES A

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 09/941,711	<b>Applicant(s)</b> KUWATA ET AL.	
	<b>Examiner</b> James A. Thompson	<b>Art Unit</b> 2625	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 08 March 2007 and 20 March 2007.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-8 and 10-71 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8 and 10-71 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 30 August 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date <u>3/20/07</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Response to Arguments*

1. Based on Applicant's arguments presented in the Pre-Appeal Conference request, filed 22 January 2007, prosecution on the merits is hereby re-opened. Examiner still maintains that the prior art cited in the previous final rejection, mailed 16 October 2006, does in fact render the present claims unpatentable. However, based on Applicant's arguments, Examiner believes that the case was not successfully made in said previous final rejection. The previously cited prior art references have been reconsidered in light of Applicant's arguments and new grounds of rejection, though based on the same prior art references, are set forth in detail below. Additionally, issues with respect to 35 U.S.C. §101 which have not hitherto been addressed are addressed below.

**Regarding Argument 1:** Examiner now interprets the built-in memory which stores the image data on the image server as the storage medium, thus Applicant is directed to the prior art rejections set forth below. Furthermore, Applicant is incorrect in stating that the image reproducing apparatus adds the image processing condition. The recording information (figure 1(9) of Shiota [USPN 6,011,547]) is provided directly by the image data generating apparatus (figure 1(1); column 3, line 66 to column 4, line 5; and column 5, lines 41-44 of Shiota). The recording information is used, in turn, to determine the processing conditions (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota). The processing conditions are determined locally on the image server (figure 1(2) of Shiota) and used by the output device (figure 1(3) of Shiota). This is evidenced both by the arrow going from element 10 to element 11 in figure 1 of Shiota (showing that 10 is used by 11, not produced by 11), and by column 5, lines 57-62 of Shiota which shows that the processing condition is stored data based on the characteristics of the image data (figure 1(8) of Shiota) and the requirements of the output device and that the processing condition is used so as to eliminate the requirement to re-determine how to best output the image data if multiple copies of the image are to be output. Thus, both elements of the image process control information are either directly (figure 1(9) of Shiota) or indirectly (figure 1(10) of Shiota) provided by the image data generating apparatus.

**Regarding Argument 2:** Again, the image recording apparatus does *not* add the image processing condition, for the reasons set forth above. Furthermore, means-plus-function does cover equivalent structures. In the case of Shiota, the image server obtains the image process control information. Both the image server of Shiota and the relevant portion of the digital camera in the present application are merely computer processors and digital data storage media.

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**Regarding Argument 3:** Applicant's arguments herein have already been fully addressed above.

**Regarding Argument 4:** Again, as stated above and for the same reasons, the image process control information is provided by the image data generating apparatus.

**Regarding Argument 5:** The actual structural limitations of claim 71 are fully met by Shiota. Thus, claim 71 is anticipated by Shiota. The entirety of figure 1 of Shiota can be considered a "digital still camera" since the term is merely nominative in the context of claim 71.

***Claim Rejections - 35 USC § 101***

2. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

3. Claims 34-37 and 61 are rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter. Claims 34-37 and 61 recite a propagated data signal, which is not recognized as statutory. A propagated data signal is merely energy and the data being propagated by the signal is merely data. Mere data is not statutory since mere data does not define any type of process, machine, article of manufacture, or composition of matter. Data itself, even propagated by a signal, does not produce any concrete, tangible and useful result.

***Claim Rejections - 35 USC § 102***

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

5. **Claims 1-2, 5-8, 10-11, 18-21, 26-29, 52-54, 57-65, 67 and 69-71 are rejected under 35 U.S.C. 102(b) as being anticipated by Shiota (US Patent 6,011,547).**

**Regarding claims 1, 18 and 26:** Shiota discloses an image data generating apparatus (figure 1 of Shiota – *entirety of figure 1 constitutes an image data generating apparatus*) comprising:

- an image data generating mechanism (figure 1(1) of Shiota) configured to generate image data (column 3, lines 58-61 of Shiota);

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- an image process control information obtaining mechanism (figure 1(2) and column 3, line 66 to column 4, line 4 of Shiota) configured to obtain image process control information (figure 1(9,10) of Shiota) that designates image process conditions for the generated image data at an output apparatus (column 5, lines 54-62 of Shiota – *both recording information and processing condition determine how the image is to be processed*), wherein the image process control information is determined according to a combination of an image generating characteristic of said image data generating apparatus (figure 1(9) and column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*) and reproduction characteristics of the output apparatus (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*);
- an output mechanism (figure 1(12) of Shiota) configured to output the generated image data associated with the obtained image process control information (column 5, lines 47-49 of Shiota);
- a storage mechanism (figure 1(7) of Shiota) that is configured to hold therein the image process control information (column 5, lines 31-35 and lines 54-59 of Shiota – *Image process control information (figure 1(9,10) of Shiota) is stored in the image file (figure 1(7) of Shiota). The image file, as would be well-known in the art, is recorded on some form of computer-readable recording medium on the image server (figure 1(2) of Shiota).*);
- said image process control information obtaining mechanism is configured to obtain said image process control information from said storage mechanism (column 5, lines 31-44 of Shiota – *The image process control information obtaining mechanism (figure 1(2) of Shiota) obtains the image process control information for use with the output device (column 5, lines 40-44 of Shiota) from a built-in memory or card memory (column 5, lines 31-35 of Shiota), said built-in memory or card memory being the storage mechanism (figure 1(7) of Shiota) since the image file would be stored in either said built-in memory or card memory.*).

Further regarding claim 18: The mechanisms of claim 1 provide the means of claim 18

Further regarding claim 26: The apparatus of claim 1 performs the method steps of claim 26.

**Regarding claim 5:** Shiota discloses that the image process control information contains information for controlling the reproduction characteristics of the image data at the output apparatus (column 5, lines 44-62 of Shiota).

**Regarding claim 6:** Shiota discloses that the image process control information includes gamma correction information (column 4, lines 5-10 of Shiota).

**Regarding claim 7:** Shiota discloses that said image process control information further comprises additional information that is correlated to said image data, said additional information including at least one of color space information, contrast information ( $\gamma$  property) (column 4, lines 7-9 of Shiota), color balance information (column 5, lines 12-18 of Shiota), sharpness information (sharp impression or soft impression) (column 4, lines 26-28 of Shiota), color correction information (column 4, lines 48-53 of Shiota), shadow point information, highlight point information, brightness correction information (column 4, lines 18-20 of Shiota), and highlight color information.

**Regarding claim 8:** Shiota discloses:

- an optional image process condition obtaining mechanism configured to obtain an optional image process condition set by a user (column 4, lines 21-30 of Shiota). If a user can set an optional image process condition (column 4, lines 21-30 of Shiota), then some form of optional image process condition obtaining mechanism is inherent.
- an image control information adding mechanism (figure 1(6) of Shiota) configured to add the obtained optional image process condition to the image process control information (column 4, lines 21-30 and column 5, lines 28-32 of Shiota), wherein the image process control information obtaining mechanism obtains the image process control information to which the optional image process condition is added (column 5, lines 23-32 of Shiota).

**Regarding claim 10:** Shiota discloses a data transfer mechanism (figure 1(2→3) of Shiota) configured to transmit the image data and the image process control information (column 5, lines 40-44 and lines 54-57 of Shiota). In order for the image data and image process control data to be transferred to the output device, some form of data transfer mechanism is inherent. Otherwise, there is no means by which the output device will be able to obtain the image data and process control data.

**Regarding claim 11:** Shiota discloses that the image generating apparatus is at least one of a digital still camera (column 3, lines 58-61 of Shiota), a digital video camera, and a scanning device.

**Regarding claim 19:** Shiota discloses that each of said means for generating image data, means for obtaining image process control information, and means for generating an output is a computer program product having computer readable instructions (column 6, lines 3-9 of Shiota).

**Regarding claim 27:** Shiota discloses that said steps of generating image data, obtaining image process control information, and generating an output are computer-implemented process steps (column 6, lines 3-9 of Shiota).

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**Regarding claims 2, 20 and 28:** Shiota discloses that the image process control information (figure 1(9,10) of Shiota) and the generated image data (figure 1(8) of Shiota) are contained in one output file (figure 1(7) and column 5, lines 31-35 of Shiota).

**Regarding claims 52 and 57:** Shiota discloses an apparatus (figure 1 of Shiota – *entirety of figure 1 constitutes an image data generating apparatus*) comprising:

- a processor (column 6, lines 3-9 of Shiota);
- means (figure 1(2) of Shiota) for retrieving an image file (figure 1(7) of Shiota) from an image data generating apparatus (figure 1(1) and column 3, line 66 to column 4, line 5 of Shiota) and providing the image file to the processor (column 5, lines 41-44 of Shiota), said image file including:
  - the image data (figure 1(8) of Shiota),
  - image process control information (figure 1(9,10) of Shiota) that designates image process conditions for the retrieved image data at an output apparatus (column 5, lines 54-62 of Shiota – *both recording information and processing condition determine how the image is to be processed*),
  - wherein the image process control information is determined according to a combination of an image generating characteristic of said image data generating apparatus (figure 1(9) and column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*) and reproduction characteristics of the output apparatus (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*).
- wherein said processor includes means for processing said image data using the image data and the image process control information (column 6, lines 3-9 of Shiota).

Further regarding claim 57: The apparatus of claim 52 performs the method of claim 57.

**Regarding claims 53 and 58:** Shiota discloses that the image file (figure 1(7) of Shiota) includes said image process control data (figure 1(9,10) of Shiota) and said image data (figure 1(8) of Shiota) in a single file (column 5, lines 54-59 of Shiota).

**Regarding claim 59:** Shiota discloses that the processing step includes processing of the image data at the output apparatus (column 5, lines 44-53 of Shiota).

**Regarding claims 21, 29, 54 and 60:** Shiota discloses that the image process control information includes gamma correction information (column 4, lines 5-10 of Shiota).

**Regarding claim 61:** Shiota discloses:

- an image segment (figure 1(7) of Shiota) containing the image data to be processed (figure 1(8) and column 5, lines 31-35 of Shiota);
  - an image processing control segment containing the image processing control data (figure 1(9,10) of Shiota) for controlling the output apparatus to perform image processing on said image data using the image data and the image processing control data (column 5, lines 54-62 of Shiota – *both recording information and processing condition determine how the image is to be processed*),
    - wherein the image processing control data includes image process control information (figure 1(9,10) of Shiota) that designates image process conditions for the image data at the output apparatus (column 5, lines 54-59 of Shiota – *both recording information and processing condition determine how the image is to be processed and output*),
    - wherein the image process control information is provided by the image data generating apparatus (figure 1(1); column 3, line 66 to column 4, line 5; and column 5, lines 41-44 of Shiota) and determined according to a combination of an image generating characteristic of the image data generating apparatus (figure 1(9) and column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*) and reproduction characteristics of the output apparatus (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*).
- The recording information (figure 1(9) of Shiota) is provided directly by the image data generating apparatus (figure 1(1); column 3, line 66 to column 4, line 5; and column 5, lines 41-44 of Shiota). The recording information is used, in turn, to determine the processing conditions (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota). The processing conditions are determined locally on the image server (figure 1(2) of Shiota) and used by the output device (figure 1(3) of Shiota). This is evidenced both by the arrow going from element 10 to element 11 in figure 1 of Shiota (showing that 10 is



used by 11, not produced by 11), and by column 5, lines 57-62 of Shiota which shows that the processing condition is stored data based on the characteristics of the image data (figure 1(8) of Shiota) and the requirements of the output device and that the processing condition is used so as to eliminate the requirement to re-determine how to best output the image data if multiple copies of the image are to be output. Thus, both elements of the image process control information are either directly (figure 1(9) of Shiota) or indirectly (figure 1(10) of Shiota) provided by the image data generating apparatus.

**Regarding claim 62:** Shiota discloses a computer program product (column 6, lines 3-9 of Shiota) comprising:

- a computer storage medium (column 5, lines 31-34 of Shiota);
- a computer program code stored in the computer storage medium for implementing an image processing on the computer (column 6, lines 3-9 of Shiota), the computer program code having:
  - a first computer code configured to retrieve image data (figure 1(7) and column 5, lines 41-44 of Shiota) and image process control data (figure 1(9,10) of Shiota) associated with the image data (column 5, lines 54-59 of Shiota) from an image data generating apparatus (figure 1(1); column 3, line 66 to column 4, line 5; and column 5, lines 41-44 of Shiota),
    - wherein the image processing control data includes image process control information (figure 1(9,10) of Shiota) that designates image process conditions for the image data at the output apparatus (column 5, lines 54-59 of Shiota – *both recording information and processing condition determine how the image is to be processed and output*), and
    - wherein the image process control information is determined according to a combination of an image generating characteristic of an image data generating apparatus (figure 1(9) and column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*) and reproduction characteristics of the output apparatus (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*);

- The recording information (figure 1(9) of Shiota) is provided directly by the image data generating apparatus (figure 1(1); column 3, line 66 to column 4, line 5; and column 5, lines 41-44 of Shiota). The recording information is used, in turn, to determine the processing conditions (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota). The processing conditions are determined locally on the image server (figure 1(2) of Shiota) and used by the output device (figure 1(3) of Shiota). This is evidenced both by the arrow going from element 10 to element 11 in figure 1 of Shiota (showing that 10 is used by 11, not produced by 11), and by column 5, lines 57-62 of Shiota which shows that the processing condition is stored data based on the characteristics of the image data (figure 1(8) of Shiota) and the requirements of the output device and that the processing condition is used so as to eliminate the requirement to re-determine how to best output the image data if multiple copies of the image are to be output. Thus, both elements of the image process control information are either directly (figure 1(9) of Shiota) or indirectly (figure 1(10) of Shiota) provided by the image data generating apparatus.
- a second computer code configured to perform image processing on the image data using the image data and the image process control information, if the image process control data is retrieved (column 5, lines 54-61 of Shiota).

**Regarding claim 63:** Shiota discloses a third computer code configured to perform image data processing on the image data using the predetermined image process control data, if the image process control data is not retrieved (column 5, lines 54-59 of Shiota), wherein the predetermined image process control data is configured to general purpose image processing (column 4, lines 54-59 of Shiota). If no correction processing is designated (column 4, lines 54-59 of Shiota), then clearly all that is left to be performed is general purpose image processing.

**Regarding claims 64 and 67:** Shiota discloses an image data processing system (figure 1 of Shiota – *entirety of figure 1 constitutes an image data generating system*) comprising:

- an image data generating device (figure 1(1) of Shiota) configured to generate image data (column 3, lines 58-61 of Shiota);
- an image process control information obtaining mechanism (figure 1(2) and column 3, line 66 to column 4, line 4 of Shiota) configured to obtain image process control information (figure 1(9,10) of Shiota) that designates image process conditions for the generated image data at an output

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apparatus (column 5, lines 54-62 of Shiota – *both recording information and processing condition determine how the image is to be processed*), wherein the image process control information is determined according to a combination of an image generating characteristic of said image data generating device (figure 1(9) and column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*) and reproduction characteristics of the output apparatus (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*);

- an output mechanism (figure 1(11) of Shiota) configured to output the generated image data associated with the obtained image process control information in an output file (column 5, lines 54-59 of Shiota);
  - a processor (column 6, lines 3-9 of Shiota);
  - a data retrieval mechanism (figure 1(2(portion)) of Shiota) configured to retrieve said output file and provide the output file to the processor (column 5, lines 40-44 of Shiota);
  - said processor is configured to perform image processing on said image data (column 6, lines 3-9 of Shiota) using the image data and the image process control information (column 5, lines 54-59 of Shiota).
- The image process control information obtaining mechanism and the data retrieval mechanism are the corresponding portions of the software embodied on the image server (figure 1(2) of Shiota) that perform the functions of said output process control information obtaining mechanism and said data retrieval mechanism.

Further regarding claim 67: The mechanisms of claim 64 provide the means of claim 67.

**Regarding claim 65:** Shiota discloses that all of the image processing is performed on a personal computer (column 6, lines 3-9 of Shiota). Therefore, Shiota further discloses a personal computer that contains said processor and said data retrieval mechanism.

**Regarding claim 69:** Shiota discloses an image data generating apparatus (figure 1 of Shiota – *entirety of figure 1 constitutes an image data generating apparatus*) comprising:

- an image data generating module (figure 1(1) of Shiota) configured to generate image data of a subject by photoelectric conversion (column 3, lines 58-61 of Shiota);

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- a storage module (figure 1(2) of Shiota) configured to store image process control information (figure 1(9,10) of Shiota) that is determined according to an output result of the generated image data at an output apparatus (column 5, lines 54-62 of Shiota), the image process control information designating an image processing condition for image data that is output to the output apparatus (column 5, lines 63-67 of Shiota – *both recording information and processing condition determine how the image is to be processed*);
- an image process control information obtaining module (figure 1(3) of Shiota) configured to obtain the image process control information from the storage module (column 5, lines 44-46 and lines 50-53 of Shiota);
- an output module (figure 1(14) of Shiota) configured to output the generated image data associated with the obtained image process control information (column 5, lines 44-49 of Shiota).

**Regarding claim 70:** Shiota discloses that the image generating apparatus includes a digital still camera (figure 1(1) and column 3, lines 58-63 of Shiota).

**Regarding claim 71:** Shiota discloses:

- an image generating module (figure 1(1) of Shiota) that generates image data (column 3, lines 58-63 of Shiota);
- an image process control information obtaining module (figure 1(2) of Shiota) that obtains image process control information (figure 1(9,10) and column 5, lines 54-59 of Shiota),
  - wherein the image process control module is determined according to a combination of image generating characteristics of the digital still camera (figure 1(9); column 4, lines 1-12; and column 5, lines 31-35 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*) and reproducing characteristics of an output apparatus (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*),
  - wherein the image process control information designates an image processing condition for the image data that is output to the output apparatus (column 5, lines 54-62 of Shiota – *both recording information and processing condition determine how the image is to be processed*);

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- an output module (figure 1(14) of Shiota) that outputs the generated image data associated with the obtained image process control information (column 5, lines 44-49 of Shiota).

***Claim Rejections - 35 USC § 103***

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

7. **Claims 3-4 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiota (US Patent 6,011,547) in view of Liu (US Patent 6,523,046 B2).**

**Regarding claim 3:** Shiota does not disclose expressly that said output file is an Exif file.

Liu discloses using an Exif file to store images (figure 2(212("EXIF"))) and column 9, lines 58-67 of Liu).

Shiota and Liu are combinable because they are from similar problem solving areas, namely storing and using digital image data. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to use an Exif file format as the output file format. The suggestion for doing so would have been that an Exif file is simply one of many possible formats that one of ordinary skill in the art could select as an output file format (column 8, lines 4-9 of Liu). Therefore, it would have been obvious to combine Liu with Shiota to obtain the invention as specified in claim 3.

**Further regarding claim 4:** Liu discloses that the image process control information is stored at a Makernote portion of the Exif file (column 10, lines 17-21 of Liu).

8. **Claims 12-17, 22-25, 30-36, 47-51 and 55-56 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiota (US Patent 6,011,547) in view of Telle (US Patent 5,105,266).**

**Regarding claims 12, 22, 30 and 34:** Shiota discloses an image data generating apparatus (figure 1 of Shiota – *entirety of figure 1 constitutes an image data generating apparatus*) comprising:

- an image data generating mechanism (figure 1(1) of Shiota) configured to generate image data of a subject (column 3, lines 58-61 of Shiota);
- a first obtaining mechanism (figure 1(2(portion)) of Shiota) configured to obtain first information reflecting image generating characteristics of the image data generating mechanism (column 4,

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lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*);

- a second image obtaining mechanism (figure 1(2(portion)) of Shiota) configured to obtain second information reflecting reproduction characteristics of an output apparatus that outputs an image according to image data that is input from the image data generating mechanism (column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*), the second information designates an optional image quality adjustment process to image data that is output to the output apparatus (column 4, lines 21-30 of Shiota);
  - an output mechanism (figure 1(12) of Shiota) configured to output the generated image data associated with image process control information (column 5, lines 47-49 of Shiota) including at least one of the first information and the second information (column 5, lines 54-62 of Shiota).
- The first and second image obtaining mechanisms are the corresponding portions of the software embodied on the image server (figure 1(2) of Shiota) that obtain said first and second information.

Shiota does not disclose expressly that said first information is used in color conversion to an absolute color space.

Telle discloses converting colors from one color space to an absolute color space (figure 4(78) and column 5, lines 28-33 of Telle). RGB colors are converted to CIELab color space, which is generally considered an absolute color space since CIELab color space is linear with respect to human visual color tolerance errors (column 5, lines 57-62 of Telle).

Shiota and Telle are combinable because they are from the same field of endeavor, namely the control, processing and output of digital color image data signals. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to convert the colors of the input device into an absolute color space, as taught by Telle, using said first information taught by Shiota. The motivation for doing so would have been that an absolute color space is based on human visual attributes rather than device attributes, and can therefore be used as a standard for conversion. Therefore, it would have been obvious to combine Telle with Shiota to obtain the invention as specified in claims 12, 22, 30 and 34.

Further regarding claim 22: The mechanisms of claim 12 provide the means of claim 22.

Further regarding claim 30: The apparatus of claim 12 performs the method of claim 30.

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Further regarding claim 34: The apparatus of claim 12 provide the propagated data signals of claim 34.

**Regarding claims 13, 23 and 31:** Shiota discloses that the image data, the first information, and the second information are contained in one image file (figure 1(7) and column 5, lines 31-35 of Shiota).

**Regarding claim 17:** Shiota discloses that the image generating apparatus is at least one of a digital still camera (column 3, lines 58-61 of Shiota), a digital video camera, and a scanning device.

**Regarding claims 47 and 55:** Shiota discloses an apparatus (figure 1 of Shiota) comprising:

- a processor (column 6, lines 3-9 of Shiota);
- a data retrieval mechanism (figure 1(2) of Shiota) configured to retrieve the image file (figure 1 (7) of Shiota) from an image data generating apparatus (figure 1(1) and column 3, line 66 to column 4, line 5 of Shiota) and provide the image file to the processor (column 5, lines 40-44 of Shiota), said image file including:
  - first information reflecting image generating characteristics of said image data generating apparatus (column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*),
  - second information reflecting reproduction characteristics of an output apparatus that outputs an image according to image data that is input from said image data generating apparatus (column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*), the second information designates an optional image quality adjustment process to image data that is output to the output apparatus (column 4, lines 21-30 of Shiota);
- said processor is configured to implement:
  - a first reproduction mechanism configured to perform image processing on said image data with said first information (column 5, lines 54-57 of Shiota),
  - a second reproduction mechanism configured to perform a reproduction process specified for said image data based on said second information (column 5, lines 57-62 of Shiota);
- an image data output mechanism (figure 1(12) of Shiota) configured to output the image data after said image data has been processed by said first reproduction and said second reproduction mechanisms (column 5, lines 44-50 of Shiota).

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Shiota does not disclose expressly that said first information is used in color conversion to an absolute color space.

Telle discloses converting colors from one color space to an absolute color space (figure 4(78) and column 5, lines 28-33 of Telle). RGB colors are converted to CIELab color space, which is generally considered an absolute color space since CIELab color space is linear with respect to human visual color tolerance errors (column 5, lines 57-62 of Telle).

Shiota and Telle are combinable because they are from the same field of endeavor, namely the control, processing and output of digital color image data signals. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to convert the colors of the input device into an absolute color space, as taught by Telle, using said first information taught by Shiota. The motivation for doing so would have been that an absolute color space is based on human visual attributes rather than device attributes, and can therefore be used as a standard for conversion. Therefore, it would have been obvious to combine Telle with Shiota to obtain the invention as specified in claims 47 and 55.

Further regarding claim 55: The mechanisms of the apparatus of claim 47 provide the means of the apparatus of claim 55.

**Regarding claims 14, 24, 32, 35, 48 and 56:** Shiota discloses that said first information includes at least one of gamma correction information (column 4, lines 5-9 of Shiota), color space information (column 4, lines 46-53 of Shiota), and negative image data value information.

**Regarding claims 15 and 49:** Shiota discloses that the second information includes at least one of an image correction characteristic associated with generating a print data from an image data (column 5, lines 54-61 of Shiota).

**Regarding claims 16, 25, 33, 36 and 50:** Shiota discloses that said second information includes at least one of contrast information ( $\gamma$  property) (column 4, lines 7-9 of Shiota), color balance information (column 5, lines 12-18 of Shiota), sharpness information (sharp impression or soft impression) (column 4, lines 26-28 of Shiota), stored color correction information (column 4, lines 48-53 of Shiota), shadow point information, highlight point information, saturation information, and brightness correction information (column 4, lines 18-20 of Shiota).

**Regarding claim 51:** Shiota discloses that said predetermined output apparatus is a printer (figure 1(12) and column 5, lines 44-49 of Shiota).



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**9. Claim 37 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shiota (US Patent 6,011,547) in view of Telle (US Patent 5,105,266) and Liu (US Patent 6,523,046 B2).**

**Regarding claim 37:** Shiota discloses that said image data and said image process control data are included as part of a single file that is embodied in said propagated data signal (figure 1(7-10) and column 5, lines 31-35 of Shiota).

Shiota in view of Telle does not disclose expressly that said file is specifically in the Exif file format.

Liu discloses using an Exif file to store images (figure 2 (212("EXIF"))) and column 9, lines 58–67 of Liu).

Shiota in view of Telle is combinable with Liu because they are from similar problem solving areas, namely storing and using digital image data. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to use an Exif file format as the file format for the single file containing the image data and process data. The suggestion for doing so would have been that an Exif file is simply one of many possible formats that one of ordinary skill in the art could select as an output file format (column 8, lines 4-9 of Liu). Therefore, it would have been obvious to combine Liu with Shiota in view of Telle to obtain the invention as specified in claim 37.

**10. Claims 38-42 and 44-46 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiota (US Patent 6,011,547) in view of Kondo (US Patent 6,281,992 B1).**

**Regarding claim 38:** Shiota discloses an apparatus (figure 1 of Shiota – *entirety of figure 1 constitutes the apparatus*) comprising:

- a processor (column 6, lines 3-9 of Shiota);
- a data retrieval mechanism (figure 1(2) and column 3, line 66 to column 4, line 4 of Shiota) configured to retrieve the image data (figure 1(8) of Shiota) from an image data generating apparatus (figure 1(1) and column 3, line 66 to column 4, line 5 of Shiota) and image process control data (figure 1(9,10) of Shiota) associated with the image data (column 5, lines 31-35 of Shiota), wherein:
  - the image process control data contains image process control information (figure 1(9,10) of Shiota) that designates image process conditions for the retrieved image data at an output apparatus (column 5, lines 54-62 of Shiota – *both recording information and processing condition determine how the image is to be processed*),

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- the image process control information is determined according to a combination of an image generating characteristic of said image data generating apparatus (figure 1(9) and column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*) and reproduction characteristics of the output apparatus (figure 1(10) and column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*);
- a first data providing mechanism (figure 1(6) of Shiota) configured to provide the image data (figure 1(8) of Shiota) and the image process control data for the image generating apparatus (figure 1(9) of Shiota) (column 5, lines 29-35 of Shiota).
- a second data providing mechanism (figure 1(11) of Shiota) configured to provide the process control data for the output apparatus (figure 1(10) and column 5, lines 54-59 of Shiota).
- said processor is configured to perform image processing on said image data using the image data and the image process control information (column 6, lines 3-9 of Shiota).

Shiota does not disclose expressly that said first data provide mechanism and said second data provide mechanism are a single mechanism.

Kondo discloses a single data provide mechanism (figure 1 (14) of Kondo) that provides process control data (column 4, lines 31-41 of Kondo) based on the image generating apparatus (figure 1(12) of Kondo) and the predetermined output apparatus (figure 1(16) of Kondo) (column 4, lines 53-56 of Kondo).

Shiota and Kondo are combinable because they are from the same field of endeavor, namely digital image data processing and reproduction. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to provide all of the process control data from a single device which correlates the image generating apparatus and the predetermined output apparatus, as taught by Kondo. The motivation for doing so would have been to provide for stability in the quality of reproduced images given a plurality of different input and output devices (column 2, lines 26-36 of Kondo). Therefore, it would have been obvious to combine Kondo with Shiota to obtain the invention as specified in claim 38.

**Regarding claim 39:** Shiota discloses that, if the process control data is not retrieved, the data providing mechanism provides the image data and a predetermined image process control data to the processor (column 5, lines 54-59 of Shiota), and wherein the predetermined image process control data is

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configured to general purpose image processing (column 4, lines 54-59 of Shiota). If no correction processing is designated (column 4, lines 54-59 of Shiota), then clearly all that is left to be performed is general purpose image processing.

**Regarding claim 40:** Shiota discloses that said processor is hosted in a computer (column 6, lines 3-5 of Shiota).

**Regarding claim 41:** Shiota discloses that said output apparatus is a printer (figure 1(12) and column 5, lines 44-49 of Shiota).

**Regarding claim 42:** Shiota discloses that said image process control data (figure 1(9,10) of Shiota) and said image data (figure 1(8) of Shiota) are contained in a single file (figure 1(7) and column 5, lines 54-59 of Shiota).

**Regarding claim 44:** Shiota discloses that the image process control information contains information for controlling the reproduction characteristics of the image data at the output apparatus (column 5, lines 44-53 of Shiota).

**Regarding claim 45:** Shiota discloses that the image process control information includes gamma correction information (column 4, lines 5-10 of Shiota).

**Regarding claim 46:** Shiota discloses that said image process control information further comprises additional information that is correlated to said image data, said additional information including at least one of color space information, contrast information ( $\gamma$  property) (column 4, lines 7-9 of Shiota), color balance information (column 5, lines 12-18 of Shiota), sharpness information (sharp impression or soft impression) (column 4, lines 26-28 of Shiota), color correction information (column 4, lines 48-53 of Shiota), shadow point information, highlight point information, brightness correction information (column 4, lines 18-20 of Shiota), and highlight color information.

**11. Claim 43 is rejected under 35 U.S.C. 103(a) as being unpatentable over Shiota (US Patent 6,011,547) in view of Kondo (US Patent 6,281,992 B1) and Liu (US Patent 6,523,046 B2).**

**Regarding claim 43:** Shiota discloses that the image process control data is stored at a specific portion (figure 1(9,10) of Shiota) of the image file (figure 1(7) of Shiota) (column 5, lines 31-35 and lines 57-59 of Shiota), and said data retrieval mechanism retrieves said specific portion to obtain the image process control data (column 5, lines 54-59 of Shiota).

Shiota in view of Kondo does not disclose expressly that said specific portion is a Makernote portion of an Exif file.

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Liu discloses using a Makernote portion of an Exif file to store property items (column 10, lines 17-21 of Liu).

Shiota and Liu are combinable because they are from similar problem solving areas, namely storing and using digital image data. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to use the Makernote portion of an Exif file format to store property items, as taught by Liu, said property items being the process data taught by Shiota. The suggestion for doing so would have been that an Exif file, along with the corresponding Makernote portion, is simply one of many possible formats that one of ordinary skill in the art could select as an output file format (column 8, lines 4-9 of Liu). Therefore, it would have been obvious to combine Liu with Shiota in view of Kondo to obtain the invention as specified in claim 43.

**12. Claims 66 and 68 are rejected under 35 U.S.C. 103(a) as being unpatentable over Shiota (US Patent 6,011,547) in view of Telle (US Patent 5,105,266) and Kondo (US Patent 6,281,992 B1).**

**Regarding claims 66 and 68:** Shiota discloses an image data processing system (figure 1 of Shiota— *entirety of figure 1 constitutes the image data processing system*) comprising:

- an image generating apparatus (figure 1(1) of Shiota) including:
  - an image data generating mechanism (figure 1(1) of Shiota) configured to generate image data of a subject (column 3, lines 58-60 of Shiota) and store said image data in an image file (column 5, lines 31-35 of Shiota);
  - a first obtaining mechanism (figure 1(2(portion)) of Shiota) configured to obtain first information reflecting image generating characteristics of the image data generating mechanism (column 4, lines 1-12 of Shiota – *recording information contains information, such as gamma property and AE characteristics, which designates and quantifies the image capture properties of the image data generating apparatus*);
  - a second image obtaining mechanism (figure 1(2(portion)) of Shiota) configured to obtain second information reflecting reproduction characteristics of an out-put apparatus that outputs an image according to image data that is input from the image data generating mechanism (column 5, line 57 to column 6, line 9 of Shiota – *Image processing condition is saved so that reprocessing for additional copies is not needed. Image processing condition based on the output apparatus, such as the print quality or monitor quality requirements.*), the second information designates an optional image

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quality adjustment process to image data that is output to the output apparatus (column 4, lines 21-30 of Shiota);

- an image processing apparatus (figure 1(2) of Shiota) including:
  - a processor (column 6, lines 3-9 of Shiota);
  - a data retrieval mechanism (figure 1(2) of Shiota) configured to retrieve said generated image data, said first information, and said second information (figure 1(7) of Shiota) and provide said generated image data, said first information, and said second information to the processor (column 5, lines 40-44 of Shiota);
  - said processor is configured to implement:
    - a first reproduction mechanism configured to perform image processing on said image data with said first information (column 5, lines 54-57 of Shiota),
    - a second reproduction mechanism configured to perform a reproduction process specified for said image data based on said second information (column 5, lines 57-62 of Shiota).
  - an image data output mechanism (figure 1(12) of Shiota) configured to output the image data after said image data has been processed by said first reproduction and said second reproduction mechanisms (column 5, lines 44-50 of Shiota);
- said image data generating mechanism contains a digital processor (figure 1(5) and column 3, lines 58-61 of Shiota).

Shiota does not disclose expressly that said first information is used in color conversion to an absolute color space; and that said first image obtaining mechanism and said second image obtaining mechanism are included within said image generating apparatus.

Telle discloses converting colors from one color space to an absolute color space (figure 4(78) and column 5, lines 28-33 of Telle). RGB colors are converted to CIELab color space, which is generally considered an absolute color space since CIELab color space is linear with respect to human visual color tolerance errors (column 5, lines 57-62 of Telle).

Shiota and Telle are combinable because they are from the same field of endeavor, namely the control, processing and output of digital color image data signals. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to convert the colors of the input device into an absolute color space, as taught by Telle, using said first information taught by Shiota. The motivation for doing so would have been that an absolute color space is based on human visual attributes rather than

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device attributes, and can therefore be used as a standard for conversion. Therefore, it would have been obvious to combine Telle with Shiota.

Shiota in view of Telle does not disclose expressly that said first image obtaining mechanism and said second image obtaining mechanism are included within said image generating apparatus.

Kondo discloses an image processing unit (figure 1(14) of Kondo) which is used to obtain information for faithfully reproducing a color of a subject and information for specifying image quality adjustment, including reproduction characteristics of a predetermined output apparatus (column 4, lines 31-42 of Kondo).

Shiota in view of Telle is combinable with Kondo because they are from the same field of endeavor, namely digital image data processing and reproduction. At the time of the invention, it would have been obvious to a person of ordinary skill in the art to use a single processor to obtain the information for faithfully reproducing a color of a subject and the information for specifying image quality adjustment, including reproduction characteristics of a predetermined output apparatus, on a single processor, as taught by Kondo, said processor being the process-or of the image data generation mechanism taught by Shiota in view of Telle. The processor would then only contain the data for one input device (figure 1(18a) of Kondo), namely itself, and a plurality of possible output devices (figure 1(20a) of Kondo). The motivation for doing so would have been to provide for stability in the quality of reproduced images given a plurality of different output devices (column 2, lines 26-36 of Kondo) by supplying the profiles of said output devices on said image data generating mechanism taught by Shiota in view of Telle. Therefore, it would have been obvious to combine Kondo with Shiota in view of Telle to obtain the invention as specified in claims 66 and 68.

Further regarding claim 68: The mechanisms of claim 66 provide the means of claim 68.

### ***Conclusion***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to James A. Thompson whose telephone number is 571-272-7441. The examiner can normally be reached on 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David K. Moore can be reached on 571-272-7437. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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JAT  
03 May 2007

James A. Thompson  
Examiner  
Technology Division 2625

A handwritten signature in black ink, appearing to read "David Moore", with a stylized flourish at the end.

**DAVID MOORE**  
**SUPERVISORY PATENT EXAMINER**  
**TECHNOLOGY CENTER 2600**